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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/476,448 Filing Date TRANSMITTAL December 30, 1999 First Named Inventor **FORM** Breslow et al. Art Unit 3627 Examiner Name Rudy, Andrew J. (to be used for all correspondence after initial filing) Attorney Docket Number 4797-34 (4034-46) Total Number of Pages in This Submission TRADEN **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): - Post card Request for Refund **Express Abandonment Request** - Check (\$1090.00) CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Brown Raysman Millstein Felder & Steiner LLP Signature Printed name Ántonio Papageorgiou Date Reg. No. 53,431 Dec. 21, 2004 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Antonio Papageorgiou Dec. 21, 2004 Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BRESLOW, Stuart Lee, et al.

ATTY DOC. NO. 4797-34 (4034-46)

Serial No.:

09/476,448

Examiner:

RUDY, Andrew J.

Filed:

December 30, 1999

Group Art Unit: 3627

Title:

ON-LINE TRADING SYSTEM

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## NOTICE OF APPEAL FROM THE PRIMARY EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Sir:

Applicants hereby appeal to the Board of Patent Appeals and Interferences from the Final Office Action dated June 21, 2004 where the Examiner finally rejected claims 1, 15-16, 19-21, 23, and 26-39 and withdrew from consideration clams 41-64 of the present application. Applicants further request a three-month extension of time to extend the time to respond to the final office action to December 21, 2004. A response to the Final Office Action was filed November 22, 2004 with a 1.131 Declaration and with a two-month extension of time.

A check in the about of \$1,090.00 is enclosed herewith to cover the \$500.00 Notice of Appeal fee and the three-month \$590.00 extension of time fee. The extension of time fee reflects a reduction to account for the two-month extension of time fee paid November 22, 2004 (\$1,020-\$430.00=\$590.00). The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 02-4270.

Dated: December 21, 2004

Antonio Papageorgiou, Reg. No. 53,431

12/28/2004 MBERHE 00000010 09476448

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Antonio Papageorgion, Reg. No. 53,431

December 21, 2004 Date

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